Receipt



### FILE COPY

#### PATENT APPLICATION

Attorney Docket No.: A-68752-1/RMK/RFT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	) Examiner: Unknown
Marc R. HAMMERMAN, et al.	) Group Art Unit: 1611
Serial No. 09/472,662	)
Filed: December 27, 1999	
For: COMPOSITION AND METHOD FOR IMPROVING FUNCTION OF EMBRYONIC KIDNEY TRANSPLANTS	)

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231on 6/8/00

Signature:

Gail Clark

#### REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231

Sir:

Appended hereto is a copy of the Official Filing Receipt for the aboveidentified patent application with the error indicated in red.

In the Title portion of the Filing Receipt, after "OF" and before "KIDNEY;", please insert – EMBRYONIC, –.

The Title will then properly read as follows: "COMPOSITION AND METHOD FOR IMPROVING FUNCTION OF EMBRYONIC KIDNEY TRANSPLANTS."

Serial No.:

09/472,662

Filed:

December 27, 1999

Because this error was caused by the Patent Office, it is believed that no payment of a fee will be necessary. However, if this belief is in error, the Commissioner is authorized to charge any fee which may be required to Deposit Account No. 06-1300 (Our Order No. A-68752-1/RFT/RMK).

Respectfully submitted,

FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP

Richard F. Trecartin, Esq.

Reg. No. 31,801

Date:

Four Embarcadero Center

**Suite 3400** 

San Francisco, CA 94111-4187

Telephone: (415) 781-1989

1017532-1(SF)

- 3

#### **FILING RECEIPT**





#### UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: ASSISTANT SECRETARY AND COMMISSIONER OF PATENT AND TRADEMARKS Washington, D.C. 20231

	APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS	
•	09/472,662	12/27/1999	1611	445	A-68752-1/RF	_	12	4	

RICHARD F TRECARTIN FLEHR HOHBACH TEST ALBRITTON & HERBERT FOUR EMBARCADERO CENTER **SUITE 3400** SAN FRANCISCO, CA 941114187



JUI 12 2000

Date Mailed: 04/13/2000 TECH CENTER 1000, 2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

MARC R. HAMMERMAN, ST. LOUIS, MO; SHARON A. ROGERS, EDWARDSVILLE, IL;

**Continuing Data as Claimed by Applicant** 

THIS APPLICATION IS A CIP OF 09/222,460 12/29/1998

**Foreign Applications** 

If Required, Foreign Filing License Granted 02/04/2000

\*\* SMALL ENTITY \*\*

Title

EMBRYONIC COMPOSITION AND METHOD FOR IMPROVING FUNCTION OF KIDNEY TRANSPLANTS

**Preliminary Class** 

424

Data entry by : RORIE, DEANNA

Team: OIPE

Date: 04/13/2000

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CRF 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

#### PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the
  title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231





Bib Data Sheet

. 1



## UNITED STATES DEPARTMENT OF COMMERCE POPULATION OF Patent and Frademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

SERIAL NUMBER 09/472,662	FILING DATE 12/27/1999 RULE _	С	LASS 424		DUP ART UNIT 1611		ATTORNEY OCKET NO. -68752-1/RF	
	MERMAN, ST. LOUIS, N DGERS, EDWARDSVIL					<del>å</del>	RECEIVE	
THIS APPLICATION IS A CIP OF 09/222,460 12/29/1998  ** FOREIGN APPLICATIONS ************************************								
IF REQUIRED, FORE GRANTED ** 02/04/2	EIGN FILING LICENSE 2000		** SMALL E	NTITY **				
Foreign Priority claimed 35 USC 119 (a-d) condition met Verified and Acknowledged	Allowance	fter Initials	STATE OR COUNTRY MO	SHEE DRAWI		IMS	INDEPENDENT CLAIMS 4	
RICHARD F TRECARTIN FLEHR HOHBACH TEST ALBRITTON & HERBERT FOUR EMBARCADERO CENTER SUITE 3400 SAN FRANCISCO, CA 941114187								
TITLE COMPOSITION AND	METHOD FOR IMPRO	VING FU	JNCTION OF E	EMBRYON	NIC KIDNEY	′ TRA	NSPLANTS	
FILING FEE FEES: Authority has been given in Paper RECEIVED No to charge/credit DEPOSIT ACCOUNT 445 No for following:				zt dim		(Proc	essing Ext. of	